SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number 3235-0287

Estimated average burden hours per response: 0.5

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Harris Melody						2. Issuer Name and Ticker or Trading Symbol <u>NEOGENOMICS INC</u> [NEO]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify					
(Last) 9490 NEOGE		3. Date of Earliest Transaction (Month/Day/Year) 05/11/2023										• A below) below) President, Enterprise Ops								
(Street) FORT MYERS FL 33912					4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)		ip) Fable I - Nor	Doriy	vativ	/0 S	ocuriti		nuired	Dien		forB	Ronofi	ially Ow	ned					
1. Title of Security (Instr. 3) 2. Tr Date				2. Tran: Date	2. Transaction		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr.		4. Secur	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an			nd 5) 5. Amount of Securities Beneficially Following F		Form	vnership 1: Direct (D) direct (I) 1: 4)	7. Nature of Indirect Beneficial Ownership	
								Code	v	Amount	(A) or (D) Pr		Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)		
Common Stock														109,5	109,564(1)		D			
			Table II - D								sed of, o nvertib				ed					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date if any (Month/Day/Yea	Co	Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Ex Expiration (Month/Da	Date	Securities Underl		erlying	8. Price of Derivative Security (Instr. 5)	9. Numb derivativ Securitie Beneficia Owned Followin Reported	ve es ally Ig d	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
				Cod	de V		(A)			ate Expirati xercisable Date		Nu		Amount or Number of Shares		Transaction(s (Instr. 4)				
Stock Option (Right to Buy)	\$9.24								(2)	(2)			Common Stock 201,613			201,613		D		
Stock Option (Right to Buy)	\$19.65	05/11/2023		I			39,683		(3)	(05/11/2030		nmon ock	39,683	\$0	39,6	83	D		
Restricted Stock Unit	\$0.0	05/11/2023		I	1		21,204		(4)		(5)		nmon ock	21,204	\$0	21,2	04	D		
Performance Stock Unit	\$0.0	05/11/2023		A	4		21,204		05/11/202	5(6)	(5)		nmon ock	21,204	\$0	21,2	04	D		

Explanation of Responses:

1. This balance includes 1,339 shares that were acquired pursuant to NeoGenomics's Employee Stock Purchase Plan (ESPP) since the date of the reporting person's last filing. The shares were acquired in transactions exempt from Section 16b-3

2. On January 1, 2023, Ms. Harris was granted 201,613 stock options. These options vest ratably over the first four anniversary dates of the grant date.

3. On May 11, 2023, Ms. Harris was granted 39,683 stock options. These options vest ratably over the first three anniversary dates of the grant date.

4. On May 11, 2023, Ms. Harris was granted 21,204 restricted stock units. These restricted stock units vest ratably over the first three anniversary dates of the grant date.

5. Once vested, the shares of common stock are not subject to expiration.

6. On May 11, 2023, Ms. Harris was granted 21,204 performance stock units representing the number of shares that may vest at target performance. The maximum number of shares that may vest pursuant to the performance criteria is 31,806. The number of performance stock units that may vest is based on the achievement of certain share growth goals based on the weighted average price of the Company's common stock over the 20-day trailing trading period at the applicable measurement dates, subject to continued service with the Company.

Remarks:

/s/ Ali Olivo, Attorney-in-Fact

** Signature of Reporting Person

05/15/2023 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.