SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB Number: 3235-0287 0.5

Estimated average burden hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  $\Box$ 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*           KANOVSKY STEPHEN M						2. Issuer Name and Ticker or Trading Symbol <u>NEOGENOMICS INC</u> [ NEO ]								all applicabl Director	,		(s) to Issuer 10% Ov Other (s		
(Last) (First) (Middle) 9490 NEOGENOMICS WAY					3. Date of Earliest Transaction (Month/Day/Year) 08/10/2023									below)	ive the		below)	peeny	
			3912	4.	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)       (State)       (Zip)         Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date					2. Transaction Date Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. ) 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a			5. Amount Securities Beneficially Following F	/ Owned Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
									v	Amount		(A) or (D)	Price	Transaction (Instr. 3 and				(Instr. 4)	
Common Stock													24,7	54		D			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable a Expiration Date (Month/Day/Year)			and 7. Title and Amour Securities Underly Derivative Security 3 and 4)		erlying	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securitie Beneficia Owned Following Reported Transact	e s ally g	B Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisab		xpiration ate	Title		Amount or Number of Shares		(Instr. 4)	ion(s)			
Stock Option (Right to Buy)	\$22.52							06/06/202	0 0	6/06/2026		nmon tock	4,269		4,269		D		
Stock Option (Right to Buy)	\$28.54							05/28/202	1 0	5/28/2027		nmon tock	3,448		3,44	3,448 D			
Stock Option (Right to Buy)	\$40.9							06/02/202	2 0	6/02/2028		nmon tock	3,714		3,71	3,714 D			
Stock Option (Right to Buy)	\$8.1							06/10/202	3 0	6/10/2029		nmon tock	13,882		13,88	32	D		
Stock Option (Right to Buy)	\$14.82	08/10/2023		Α		8,353		08/10/202	4 0	8/10/2033		nmon tock	8,353	\$0	8,35	3	D		
Restricted Stock Unit	\$0	08/10/2023		Α		11,336		08/10/202	4	(1)		nmon tock	11,336	\$0	11,33	6	D		

## Explanation of Responses:

1. Once vested, the shares of common stock are not subject to expiration.

Remarks:

/s/ Ali Olivo, Attorney-in-Fact

\*\* Signature of Reporting Person

08/14/2023 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

OMB APPROVAL