SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Perez David Brian					2. Issuer Name and Ticker or Trading Symbol <u>NEOGENOMICS INC</u> [NEO]									all applicabl	e)	10% Owner			
(Last) (First) (Middle) 9490 NEOGENOMICS WAY						3. Date of Earliest Transaction (Month/Day/Year) 08/10/2023									Officer (gi below)	ve title		below)	респу
(Street) FORT MYERS	RT MYERS FL 33912				4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City)																			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
					2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Dispose Code (Instr.		ities Acquir d Of (D) (Ins			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
									Code	v	Amount	(A) (D)	or	Price	(Instr. 3 and 4)				(1150.4)
Common Stock															10,3	00		D	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Ye	C0	Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable an Expiration Date (Month/Day/Year))	7. Title and Amount of Securities Underlying Derivative Security (I 3 and 4)		lying	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie Beneficia Owned Following Reported Transacti	e Oves Fo ally Dir g (I)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Co	ode	v	(A)		Date Exercisal		Expiration Date	Title		Amount or Number of Shares		(Instr. 4)	1011(3)		
Stock Option (Right to Buy)	\$7.34								06/10/202	23 0	6/10/2029	Commo Stock		9,730		9,730	0	D	
Stock Option (Right to Buy)	\$14.82	08/10/2023			A		8,353		08/10/202	24 0	08/10/2033	Commo Stock		8,353	\$0	8,353	3	D	
Restricted Stock Unit	\$ <mark>0</mark>	08/10/2023			A		11,336	ΙĪ	08/10/202	24	(1)	Commo Stock		11,336	\$0	11,33	6	D	

Explanation of Responses:

1. Once vested, the shares of common stock are not subject to expiration.

Remarks:

/s/ Ali Olivo, Attorney-in-Fact

** Signature of Reporting Person

08/14/2023

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.